



RIDOT Pre- Award DBE Utilization Plan

RI Contract Number: _____

Contract Goal: _____

The contractor agrees to ensure that Disadvantaged Business Enterprises (DBE) as defined in 49 CFR 26 have the maximum opportunity to participate in the performance of federally funded contracts and subcontracts. The contractor shall not discriminate on the basis of race, color, national origin or sex in the award and the performance of Contracts.

An executed DBE-001 must be submitted to RIDOT Contracts no later than 4:00 pm on the 3rd business day after the bid opening. Documents must be submitted electronically to: DOT.Contracts@dot.ri.gov.

Contract Name: _____

Bidder: _____ Contract Value: _____

The DBE firms listed below have been contacted regarding participation on this project. If the contractor is successful and is awarded the contract, it shall assure subcontracts and/or supply agreements are executed with the named DBEs.

DBE Commitments
(Use additional sheets as needed)

DBE Firm Name		Commitment		DBE Firm Name		Commitment	
DBE Firm Name		Commitment		DBE Firm Name		Commitment	
DBE Firm Name		Commitment		DBE Firm Name		Commitment	
DBE Firm Name		Commitment		DBE Firm Name		Commitment	

I, acting in my capacity as an officer of the undersigned bidder (or bidders in a joint venture), hereby assure the Department that on this contract, my company: (check one)

Met or exceeded the contract DBE goal. Contract DBE participation is as follows:

Total Contract DBE Commitment \$ _____ **Total Contract DBE Participation** % _____

Did NOT meet contract DBE goal. Good Faith Effort documentation (form DBE-006 or equivalent) is attached. Contract DBE participation is as follows:

Total Contract DBE Commitment \$ _____ **Total Contract DBE Participation** % _____

Attached is documentation demonstrating my company's efforts to achieve the contract DBE goal including but not limited to DBE Letter(s) of Intent, demonstrating DBE availability and use of each DBE indicated above and/or Good Faith Efforts documentation. This contractor understands, once the DBE Utilization Plan has been approved by RIDOT Office of Civil Rights (OCR), a commitment has been made to the above firms, and any changes or reductions to the above DBE commitments are subject to termination and substitution guidelines. Final contract DBE credit to be assessed by RIDOT OCR based upon Commercial Useful Function (CUF) performed by the firm and subcontractor payments during contract closeout.

Bidder's Signature and Date

Please note **ALL** DBE participation must be submitted to and reviewed by OCR **PRIOR** to the start of work to review for DBE eligibility only. All Subcontractor Agreements are subject to the Construction Management approval process.