



DBE Trucking Participation Statement

RI Contract Number: _____
 Contract Goal: % _____

All DBE participation **MUST** be reported and monitored by RIDOT OCR. This form is only to be completed if a named DBE firm is performing trucking services on this contract. Executed DBE-004 is to be submitted and approved by OCR **PRIOR** to the start of work.

Note: If submission of the DBE-004 is a result of termination or substitution, an executed DBE-007 must be attached.

Post Qualification: The executed DBE-004 and subcontract agreement must be submitted to RIDOT Contracts as directed within the post-qualification letter.

Post Award: The executed DBE-004 and corresponding subcontract agreement must be submitted via e-mail to DOT.DBE@dot.ri.gov and assigned RIDOT Construction Manager (CM) and Project Manager (PM).

Contractor Information

Prime Contractor: _____ DBE Subcontractor: _____
 Prime Representative: _____ DBE Representative: _____
 Address: _____ Address: _____
 Phone Number: _____ Phone Number: _____

DBE Performance (to be completed by Prime firm)

DBE participation named on Pre-Award Utilization Plan (UP) or UP Substitution Additional DBE participation (Race Neutral)

Applicable NAICS Code:

Pursuant to 49 CFR 26.55, DBE Eligibility is dependent upon the firm's ability to perform a Commercially Useful Function (CUF). Final DBE credit to be assessed by OCR at contract closeout based upon CUF performance and subcontractor payments.

DBE Trucking Performance (to be completed by DBE)

Total \$ Value of Contract Trucking Commitment: _____ Cost Per Unit for Hauling: _____
Per Hour
Per Ton
Per _____

Total # of Trucks on Contract: _____ Material or Equipment to be Hauled: _____

Total # of Trucks OWNED BY YOUR Firm: _____ Estimated \$ value of work for YOUR firm: _____

Trucks Subcontracted from DBE Firm(s)

Name of DBE Firm	Driver Included	# of trucks per firm	Estimated \$ Value of Work
	YES NO		
	YES NO		
	YES NO		
Total:			

Trucks Subcontracted/Leased from Non-DBE Firm(s)

Name of DBE Firm	Driver Included	# of trucks per firm	Estimated \$ Value of Work
	YES NO		
	YES NO		
	YES NO		
Total:			

For all trucks utilized, proof of ownership to include title(s) or financial agreements(s), registration document(s), lease agreement(s), insurance card(s), hazardous waste license(s) if applicable and license plate number(s) must be provided to RIDOT OCR. Note: All lease agreement(s) must be long term (equal to or greater than one year). Once approved, any changes made to the above plan, must be reported to RIDOT within two weeks.

The undersigned certifies that the named DBE firm will be performing a commercially useful function (CUF), as outlined in 49 CFR 26.55.

 Prime Signature Date DBE Signature Date