DBE Regular Dealer Participation Statement

RI Contract Number: _____
Contract Goal: %_____

All DBE participation **MUST** be reported and monitored by RIDOT OCR. This form is only to be completed if a named DBE firm is performing as a Regular Dealer on this contract. The executed Regular Dealer Participation Statement (DBE-005) is to be submitted and approved by OCR **PRIOR** to the start of work.

Note: If submission of DBE-005 is a result of termination or substitution, an executed DBE-007 must be attached.

Post Qualification: The executed DBE-005 and corresponding purchase order or equivalent must be submitted to RIDOT Contracts as identified within the post qualification letter.

Post Award: The executed DBE-005 and corresponding purchase order or equivalent must be submitted via e-mail to DOT.DBE@dot.ri.gov and assigned RIDOT Construction Manager (CM) and Project Manager (PM).

Contractor Information

Prime Contractor: _____ DBE Subcontractor: _____
Prime Representative: _____ DBE Representative: _____
Address: _____ Address: _____
Phone Number: _____ Phone Number: _____

DBE Performance (to be completed by DBE firm)

☐ **DBE participation named on DBE Pre-Award Utilization Plan (UP) or UP Substitution** ☐ **New DBE participation**

Pursuant to 49 CFR 26.55, a Regular Dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business.

DBE Purchase Order Amount: _____

List all contract items the DBE will provide as a Regular Dealer:

Applicable NAICS Code:

Pursuant to 49 CFR 26.55, DBE Eligibility is dependent upon the firm's ability to perform a Commercially Useful Function (CUF).

Please note if "NO" is selected for either question, the Bidder/Prime Contractor is ineligible to receive "Regular Dealer" credit for the services provided by the DBE firm. Instead, the maximum allowed credit received, would be the fee or commission received by the DBE for services provided.

1. Does your firm "regularly" engage in the purchase and sale or lease, to the general public in the usual course of its business, of products of the general character involved in the contract and for which DBE credit is sought? ☐ YES ☐ NO

2. Is the role that your firm plays on the above named contract in question consistent with the regular sale or lease of the products in question, as distinct from a role better understood as that of a broker, packager, manufacturer's representative, or other person who arranges or expedites a transaction? ☐ YES ☐ NO

The undersigned certifies that he/she/they is authorized to make this verification on behalf of the Bidder/Prime Contractor or named DBE that information provided is true and correct to the best of his/her/their, knowledge, belief and information that the DBE firm "regularly" engages in the purchase and sale of the items listed herein and is not otherwise a packager, brokers, or manufacturer representative of anyone who arranges of expedites transactions.

_____ _____
Prime Signature Date DBE Signature Date

Clear Form